

16805 U.S.P.T.O.  
020304

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2223-04501

First Inventors Fredirick B. GROWCOCK and James E. FRIEDHEIM

Title Stabilized Colloidal and Colloidal-like Systems

Express Mail Label No. EV 303485350 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

U.S.P.T.O.  
2258107187  
ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Arlington VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification [Total Pages 18] <i>(preferred arrangement set forth below)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the invention	b. Specification Sequence Listing on:
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D	ii. <input type="checkbox"/> paper
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statements verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings ( <i>if filed</i> )	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 1]	
5. Oath and Declaration [Total Pages ]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a. <input type="checkbox"/> Newly executed (original or copy)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	13. <input type="checkbox"/> Preliminary Amendment
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP)	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
<i>Prior application information:</i>	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
Name _____	17. <input type="checkbox"/> Other: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number of Bar Code Label 23505 or  Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	MARCELLA D. WATKINS		Registration No. (Attorney/Agent) 36,962
Signature			Date FEBRUARY 3, 2004

The collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL

## For FY 2004

*Effective 10/01/2003. Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **\$ 1,216.00**

### METHOD OF PAYMENT (Check all that apply)

Check  Credit Card  Money  Other  None  
Order

**Deposit Account:**

Deposit Account Number: **03-2769**

Deposit Account Name: **Conley Rose, P.C.**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

Credit any overpayments

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Fee	Fee	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee		<b>\$ 770.00</b>	
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			

**SUBTOTAL (1) \$ 770.00**

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	40	20	= 20	x	Fee from	Fee Paid
					below	
Independent	4	3	= 1	x	18.00	<b>\$ 360.00</b>
Claims					86.00	<b>\$ 086.00</b>
Multiple Dependent					290.00	<b>\$ 00.00</b>

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Fee	Fee	Fee Description
1202 18	2202 9	Claims in excess of 20		
1201 86	2201 43	Independent Claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 86	2204 43	** Reissue independent claims over original patent		
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		

**SUBTOTAL (2) \$ 446.00**

**\*\* or number previously paid, if greater; For Reissues, see above**

#### SUBMITTED BY

#### Complete if Known

Application Number	<b>Not Yet Assigned</b>
Filing Date	<b>Concurrently Herewith (February 3, 2004)</b>
First Named Inventor	<b>Frederick B. GROWCOCK and James E. FRIEDHEIM</b>
Examiner Name	<b>Not Yet Assigned</b>
Art Unit	<b>Not Yet Known</b>
Attorney Docket No.	<b>2223-04501</b>

#### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee Description	Fee
Fee Code (\$)	Fee Code (\$)	Fee	Fee Description	Fee
Paid				
1051	130	2051	65 Surcharge - late filing fee or oath	\$
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	\$
1053	130	1053	130 Non-English specification	\$
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	\$
18042	920*	1804	920* Requesting publication of SIR prior to Examiner action	\$
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	\$
1251	110	2251	55 Extension for reply within first month	\$
1252	420	2252	210 Extension for reply within second month	\$
1253	950	2253	475 Extension for reply within third month	\$
1254	1,480	2254	740 Extension for reply within fourth month	\$
1255	2,010	2255	1,005 Extension for reply within fifth month	\$
1401	330	2401	165 Notice of Appeal	\$
1402	330	2402	165 Filing a brief in support of an appeal	\$
1403	280	2403	140 Request for oral hearing	\$
1451	1,510	1452	1,510 Petition to institute a public use proceeding	\$
1452	110	2452	55 Petition to revive - unavoidable	\$
1453	1,330	2453	665 Petition to revive - unintentional	\$
1501	1,330	2501	665 Utility issue fee (or reissue)	\$
1502	480	2502	240 Design issue fee	\$
1503	640	2503	320 Plant issue fee	\$
1460	130	1460	130 Petitions to the Commissioner	\$
1807	50	1806	50 Processing fee under 37 CFR 1.17(g)	\$
123	50	123	50 Petitions related to provisional applications	\$
1806	180	1806	180 Submission of Information Disclosure Stmt	\$
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	\$
1809	770	2809	385 Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810	770	2810	385 For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801	770	2801	385 Request for Continued Examination (RCE)	\$
1802	900	1802	900 Request for expedited examination of a design application	\$
Other fee (specify)				\$

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$**

Complete (if applicable)

Name (Print/Type)	<b>MARCELLA D. WATKINS</b>	Registration No. (Attorney/Agent)	<b>36,962</b>	Telephone	<b>(713) 238-8000</b>
Signature	<i>Marcella D. Watkins</i>			Date	<b>FEBRUARY 3, 2004</b>

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119019.01/2223/04501

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